## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K
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#### CURRENT REPORT

Pursuant to Section 13 or Section 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 12, 2021

# AVADEL PHARMACEUTICALS PLC

(Exact name of registrant as specified in its charter)

**Ireland** (State or other jurisdiction of incorporation)

**001-37977** (Commission File Number)

**98-1341933** (IRS Employer Identification No.)

10 Earlsfort Terrace
Dublin 2, Ireland, D02 T380
(Address of principal executive offices)

Not Applicable (Zip Code)

Registrant's telephone number, including area code: +353 1 920 1000

#### Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation to the registrant under any of the following provisions:

$\square$ W	7ritten communications	pursuant to Rule	425 under the	Securities Act	(17 CFR 230.425	)
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- □ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
American Depositary Shares*	AVDL	The Nasdaq Global Market
Ordinary Shares, nominal value \$0.01 per share**	N/A	

<sup>\*</sup>American Depositary Shares may be evidenced by American Depositary Receipts. Each American Depositary Share represents one (1) Ordinary Share.

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging	growth	company	
	51011111	Company	_

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

<sup>\*\*</sup> Not for trading, but only in connection with the listing of American Depositary Shares on The Nasdaq Global Market.

### Item 8.01 Other Events

On May 12, 2021, Jazz Pharmaceuticals, Inc. ("Jazz") filed a complaint against Avadel Pharmaceuticals plc (the "Company") and its subsidiaries, Avadel CNS Pharmaceuticals LLC, Avadel Legacy Pharmaceuticals, LLC, Avadel Management Corporation, Avadel Specialty Pharmaceuticals, LLC and Avadel US Holdings, Inc. (collectively, the "Subsidiaries") in the United States District for the District of Delaware. The complaint alleged patent infringement by the Company and its Subsidiaries of certain Jazz patents related to its sodium oxybate product, Xyrem. The Company believes it has defenses to any related causes of action and plans to vigorously pursue these defenses.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 14, 2021 AVADEL PHARMACEUTICALS PLC

By: <u>/s/ Jerad G. Seurer</u>

Name: Jerad G. Seurer

Title: Vice President, Legal Affairs & Corporate Secretary